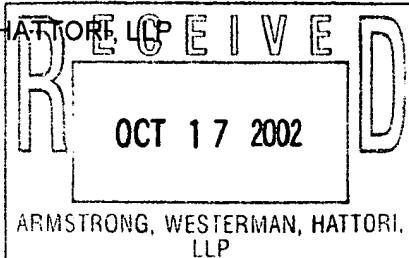


09901572 - 031103  
Page 1 of 1Commissioner for Patents  
Washington, DC 20231  
www.uspto.gov

CS

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/901,572	07/11/2001	Takashi Okuda	010898

23850  
ARMSTRONG, WESTERMAN & HATTORI, LLP  
1725 K STREET, NW.  
SUITE 1000  
WASHINGTON, DC 20006



CONFIRMATION NO. 4818

## FORMALITIES LETTER



\*OC000000008941513\*

Date Mailed: 10/11/2002

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS  
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE  
DISCLOSURES**

Filing Date Granted

Sequence listing due:  
Dec. 11, '02

Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice MUST be returned with the reply.*

*[Signature]*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

SEARCHED \_\_\_\_\_ INDEXED \_\_\_\_\_ DATE \_\_\_\_\_ 10-17-02



000001572 · 031103

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **Takashi OKUDA et al.**

Group Art Unit: **1633**

Serial No.: **09/901,572**

Examiner: **Not Yet Assigned**

Filed: **July 11, 2001**

P.T.O. Confirmation No.: **4818**

**FOR: MODIFIED DNA MOLECULE, RECOMBINANT CONTAINING THE  
MOLECULE AND USES THEREOF**

RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS

**Commissioner for Patents  
Washington, D.C. 20231**

March 11, 2003

Dear Sir:

In response to the NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES, submitted herewith is the Sequence Listing for the above-identified application both in a paper copy and in a computer readable form.

The name of the file on the computer readable form is **010898** in text format. The paper copy and the computer readable form are the same.

A Preliminary amendment to the specification accompanies this submission of the Sequence Listing. In the Preliminary Amendment, SEQ. ID. NO. 12 is changed to SEQ. ID. NO. 3, and SEQ ID. NO. 25 is changed to SEQ ID. NO. 4. The references to SEQ. ID. NOs. 3-11, 12-24 and 26-79 are deleted from the specification. This represents only a renumbering of the SEQ. IDs. so that the sequence listing will have sequence IDs with consecutive numbers.

It is respectfully submitted that the paper copy of the sequence listing and the computer readable form add no new matter. Sequences are here listed for SEQ. ID. NOs. 1, 2, 3, and 4. Support for these sequences is as follows:

Support for SEQ. ID. NO. 1 is found in the specification on page 7, lines 32 to 35, with reference to United States Patent No. 5,489,430.

Support for SEQ. ID. NO. 2 is found in the specification on page 8, line 1, referring to GeneBank Accession No. AB023292.

Support for SEQ ID. NO. 3 (renumbered from SEQ. ID. NO. 12 in the amendment) is

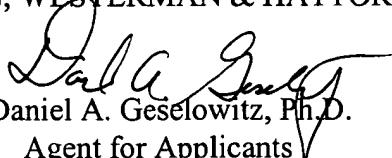
found on page 20, lines 13 to 19, referring to WO97/36924.

Support for SEQ ID. NO. 4 (renumbered from SEQ. ID. NO. 25 in the amendment) is found on page 22, lines 8 to 10, referring to GeneBank Accession No. AB023292.

In the event there are any fees required, please charge our Deposit Account No. 01-2340.

Respectfully Submitted,

ARMSTRONG, WESTERMAN & HATTORI, LLP



Daniel A. Geselowitz, Ph.D.  
Agent for Applicants

Reg. No. 42,573

DAG/jaz

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Suite 1000, 1725 K Street, N.W.  
Washington, D.C. 20006  
(202) 659-2930



23850

PATENT TRADEMARK OFFICE

Enclosures: Notice to Comply With Requirements  
Paper Form Sequence Listing  
Disk Form Sequence Listing  
Extension of Time  
Preliminary Amendment